- C)			Applica	tion Number	09/894,854		
TANSMITTAL FORM				)ate	June 27, 2001  Garces, Rodrigo, et al.		
				amed Inventor			
FORM  used for all correspondence after initial filing)			Group /	Art Unit	2681		
		Examin	er Name	Unassigned			
otal Number of Page	42	Attorne	Docket Number	011727-92.00US			
		ENCL	OSURES (	check all that apply)			
Fee Transmittal F	Form		ment Pape Application)	rs	After Allowance Communication to Group		
Fee Attached		☐ Drawin	ng(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	sponse	Licensi	ing-related	Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final			n Routing S companyir	Blip (PTO/SB/69) ng Petition	Proprietary Information		
Affidavits/dec	claration(s)		n to Conve ional Applic		Status Letter		
Extension of Time	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):			
Express Abandor	Terminal Disclaimer Request for Refund			Nonpublication Request Certification     Under 35 USC 122(b)(2)(B)(i); and     Return Receipt Postcard			
Information Disclo	CD, Number of CD(s)			2) Notall Neccipi Colculu			
Certified Copy of Document(s)	Rema	- 1	The Commissioner is Deposit Account 20-	s authorized to charge any additional fees to 1430.			
Response to Miss	•			•			
Response to Parts under 3 1.52 or 1.53							
	SIGNA	TURE OF	APPLICA	NT, ATTORNEY, (	OR AGENT		
Firm and	Townsend and To	wnsend and	Crew LLP				
Individual name	Kenneth R. Allen			Reg. N	lo. 27,301		
Signature	Kenneth R. Allen						
Date	January 18, 2002						
		CF	RTIFICAT	E OF MAILING			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

January 18, 2002

Date

Patent and Trade Diffice: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1999, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CONTRACTOR AND	Complete if Known				
PE FEE TRANSMITTAL	Application Number	09/894,854			
for FY 2001	Filing Date	June 27, 2001			
	First Named Inventor	Garces, Rodrigo			
Patent fees are subject to annual revision.	Examiner Name	Unassigned			
THADENHET .	Group Art Unit	2681			
TOTAL AMOUNT OF PAYMENT (\$) 1015	Attorney Docket No.	011727-92.00US			

METHOD OF PAYMENT							FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge							3. ADDITIONAL FEES						
1. indicated fees and credit any over payments to:						ļ	Large	Entity	Small	Entity			
Do	posit							Fee	Fee	Fee	Fee Description	Fee	
	count   20-1430					Code	(\$)	Code	(\$) 65	•	Paid 65		
	Number						105 127	130 50	205 227	95 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee		
Dei	osit						127	50	221	25	or cover sheet.		
Deposit Account Townsend and Townsend and Crew LLP							139	130	139	130	Non-English specification		
Name						J J	147	2,520	147	2,520	For filing a request for reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2.		nt Enclo	sed:				115	110	215	55	Extension for reply within first month		
☐ Check ☐ Credit card ☐ Money ☐ Other						1	116	400	216	200	Extension for reply within second month		
Order							117	920	217	460	Extension for reply within third month	460	
FEE CALCULATION							118	1,440	218	720	Extension for reply within fourth	100	
1. BASIC FILING FEE						Į,	128	1,960	228	980	month  Extension for reply within fifth month	├	
Large	Entity		ntity				119	320	219	160	Notice of Appeal		
Fee Code			Fee   (\$)	Fee Description	Fee Paid		120	320	219	160	Filing a brief in support of an appeal	├	
101	1.,			Utility filing fee	370	_	121	280	221	140	Request for oral hearing		
106				Design filing fee	0.0	7 1	138	1,510	138	1,510	Petition to institute a public use		
107			255	Plant filing fee							proceeding		
108				Reissue filing fee		_ I	140	110	240	55	Petition to revive – unavoidable	i	
114 160 214 80 Provisional filing fee					141	1,280	241	640	Petition to revive – unintentional	<u> </u>			
SUBTOTAL (1) (5)370						142	1,280	242	640	Utility issue fee (or reissue)	<u>  </u>		
SUBTOTAL (1) (\$)370						143	460	243	230	Design issue fee			
2. EX	TRA CLAII	W FEES					144	620	244	310	Plant issue fee	I	
					from Fee	- 1	122	130 1	122	130	Petitions to the Commissioner	·	
Total Cla	ims 9	20***	_	Claims below			123	50	123	50	Petitions related to provisional applications		
Independ Claims	ent 1	-3**	= [	0 x \$	42 = \$0	□ i	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Depende	nt		L	x	=	]	581	40	581	40	Recording each patent assignment per property (times number of properties)	120	
Large Entity Small Entity					1	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Descript	on		149	740	249	370	For each additional invention to be	$\vdash$	
103	18	203	9	Claims in exc	ess of 20						examined (37 CFR § 1.129(b))		
102	84	202	42	Independent of	endent claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)		
104	280	204	140	Multiple depe	e dependent claim, if not paid		169					<u> </u>	
109	84	209	42	** Reissue inc original paten	** Reissue independent claims over			900	169	900	Request for expedited examination of a design application		
110	** Reissue claims in excess of 20 and					and	Other fee (specify)						
			S	UBTOTAL (2)	(\$)0	٦ <u> </u>	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
					_	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)645							
**or number previously paid, if greater; For Reissues, see above													

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Kenneth R. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400			
Signature	Lenne	th R. Allen		Date	January 18, 2002			







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/894,854

TWO EMBARCADERO CENTER

SAN FRANCISCO, CA 94111-3834

TOWNSEND AND TOWNSEND AND CREW

06/27/2001

Rodrigo Garces

011727-92.00US

CONFIRMATION NO. 3678

**FORMALITIES LETTER** 

\*OC000000006456013\*

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2002 SDIRETA1 00000131 201430

**EIGHTH FLOOR** 

20350

FILED UNDER 37 CFR 1.53(b)

02 FC:205

370.00 CH 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE